


04/02/01

11057 U.S. PTO

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PTO SB C5 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 5077-000028

First Inventor Tomoyuki Seki, et al

Title DISCHARGE LAMP AND LAMP UNIT

Express Mail Label No. EL 623 564 430 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 32]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
[Total Pages]
5. Oath or Declaration [Total Pages]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
c. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____

Prior application information

Examiner _____

Group Art Unit

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

27572

(Insert Customer No. or Attach bar code label here)

Name

Harness, Dickey & Pierce, P.L.C.

Gregory A. Stobbs

P.O. Box 828

Name (Print Type)

Gregory A. Stobbs

Registration No. (Attorney, Agent)

28,754

**FEE TRANSMITTAL
for FY 2001***Patent fees are subject to annual revision.***Complete if Known****TOTAL AMOUNT OF PAYMENT (\$)** 2060

Application Number Not Yet Assigned

Filing Date Herewith

First Named Inventor Tomoyuki Seki, et al

Examiner Name Not Yet Assigned

Group / Art Unit Not Yet Assigned

Attorney Docket No 5077-000028

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit
Account
Number

08-0750

Deposit
Account
Name

Harness, Dickey & Pierce, P L C

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
-
- Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 710)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
13	0	18	0
Independent Claims	2	80	0
Multiple Dependent	5	20	120

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

3. ADDITIONAL FEES					
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	105	65	Surcharge - late filing fee or oath	
127	50	127	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	115	55	Extension for reply within first month	
116	390	116	195	Extension for reply within second month	
117	890	117	445	Extension for reply within third month	
118	1,390	118	695	Extension for reply within fourth month	
128	1,890	128	945	Extension for reply within fifth month	
119	310	119	155	Notice of Appeal	
120	310	120	155	Filing a brief in support of an appeal	
121	270	121	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	140	55	Petition to revive – unavoidable	
141	1,240	141	620	Petition to revive – unintentional	
142	1,240	142	620	Utility issue fee (or reissue)	
143	440	143	220	Design issue fee	
144	600	144	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
124	18	124	9	Submission of Information Disclosure Stmt	
125	4	125	2	Recording each patent assignment per property (times number of properties)	
145	710	145	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	149	355	For each additional invention to be examined (37 CFR § 1.129(b))	
129	310	129	355	Request for Continued Examination (RCE)	
152	310	152	310	Request for expedited examination of a design application	

SUBMITTED BY

Name, Print Type

Gregory A. Stoltis

Registration No. Attorney Agent

28-764

Filing Date

04/04/00

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